



30-50 Whitestone Expressway  
Ste. A301, Flushing, NY 11354  
Client Services: (800) 869-8800  
From Overseas: (718) 961-6600

# CASH &/OR SECURITY JOURNAL REQUEST FORM

Instructions must be dated within 30 days from the date of receipt by Apex.

## 第一證券賬戶間資產轉移表格

DATE日期

ACCOUNT INFORMATION 賬戶信息				
Delivering Account No. 現存資產賬戶號碼				
Delivering Account Name/Title 現存資產賬戶名稱				
Receiving Account No. 接收資產賬戶號碼				
Receiving Account Name/Title 接收資產賬戶名稱				
If the Receiving Account Title does not match the Delivering Account Title, the request will be considered a Third Party Transfer. Third Party Transfers must adhere to Apex's Third Party Policy. 若資產接收賬戶及資產來源賬戶的註冊持有人不同, 這個請求則為第三方轉移, 需要符合Apex的第三方轉移規定				
TRANSFER INFORMATION 轉賬信息				
Full Transfer of Cash & Securities? 轉移賬戶全部資產? (Accrued Interest will also be transferred) (累積利息也將進行轉移)				
Reason for Transfer 資產轉移原因				
For Partial Transfers, complete the following: 部分轉移請填寫:				
Cash 現金		\$		
	Symbol/CUSIP 代號	Shares 股數		Symbol/CUSIP 代號
1		7		
2		8		
3		9		
4		10		
5		11		
6		12		
CUSTOMER AUTHORIZATION 客戶授權				
Customer Signature 賬戶持有人簽名		Joint Account Holder 共同持有人簽名		
I/We agree to hold all parties acting on this request, including the introducing broker and Apex Clearing Corporation, and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.				
NOTARY 公證				
Notary Seal: 公證人蓋章:				
Notary Signature 公證人簽名				
FOR INTERNAL USE ONLY 此欄限第一證券專用				
Registered Principal Approval:				
Print Name	Title	Signature	Date	
Compliance Officer Approval/Registered Principal Approval				
Print Name	Title	Signature	Date	