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From Overseas: (718) 961-6600

## PATTERN DAY TRADER STATUS TERMINATION FORM

Full Name of Account

Account Number

I understand that, at the present time, my account has been identified and coded as a Pattern Day Trader.

I attest that I do not intend to conduct further pattern day trader activities, as defined by Financial Industry Regulatory Authority (FINRA) Rule 4210(f)(8)(B),

and that my account will be coded as a non-pattern day trader upon submission and approval of this form.

For current FINRA guidance please visit <https://www.finra.org/rules-guidance/guidance/interps-4210>

I agree to cease pattern day trading activities in my account.

If my account is reclassified as a non-pattern day trading margin account, I will be allowed to execute only three (3) day trades in a rolling five (5) business day period.

If I continue to engage in pattern day trading activities, I understand that my account will be coded permanently as a pattern day trading account.

For further information regarding day trading and pattern day trading, please see the Securities and Exchange Commission (SEC) Office of Investor Education and Advocacy Margin Rules for Day Trading bulletin.

<https://www.investor.gov/introduction-investing/general-resources/news-alerts/alerts-bulletins/investor-bulletins/margin>

### AUTHORIZED SIGNATURES

Primary Account Holder Signature

Date

Name of Primary Account Holder Name

Joint Account Holder Signature

Date

Joint Account Holder Name

### AUTHORIZED SIGNATURES

Registered Principal

Date

Name of Registered Principal

Title