



30-50 Whitestone Expressway  
Ste. A301, Flushing, NY 11354  
Client Services: (800) 869-8800  
From Overseas: (718) 961-6600

# CASH &/OR SECURITY JOURNAL REQUEST FORM

Instructions must be dated within 30 days from the date of receipt by Apex.

## 第一证券账户间资产转移表格

DATE日期

ACCOUNT INFORMATION 账户信息				
Delivering Account No. 现存资产账户号码				
Delivering Account Name/Title 现存资产账户名称				
Receiving Account No. 接收资产账户号码				
Receiving Account Name/Title 接收资产账户名称				
If the Receiving Account Title does not match the Delivering Account Title, the request will be considered a Third Party Transfer. Third Party Transfers must adhere to Apex's Third Party Policy. 若资产接收账户及资产来源账户的注册持有人不同, 这个请求则为第三方转移, 需要符合Apex的第三方转移规定				
TRANSFER INFORMATION 转账信息				
Full Transfer of Cash & Securities? 转移账户全部资产? <small>(Accrued Interest will also be transferred) (累积利息也将进行转移)</small>				
Reason for Transfer 资产转移原因				
For Partial Transfers, complete the following: 部分转移请填写:				
Cash 现金		\$		
	Symbol/CUSIP 代号	Shares 股数		Symbol/CUSIP 代号
1		7		
2		8		
3		9		
4		10		
5		11		
6		12		
CUSTOMER AUTHORIZATION 客户授权				
Customer Signature 账户持有人签名		Joint Account Holder 共同持有人签名		
I/We agree to hold all parties acting on this request, including the introducing broker and Apex Clearing Corporation, and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.				
NOTARY 公证				
Notary Seal: 公证人盖章:				
Notary Signature 公证人签名				
FOR INTERNAL USE ONLY 此栏限第一证券专用				
Registered Principal Approval:				
Print Name	Title	Signature	Date	
Compliance Officer Approval/Registered Principal Approval				
Print Name	Title	Signature	Date	