



30-50 Whitestone Expressway  
Ste. A301, Flushing, NY 11354  
Client Services: (800) 869-8800  
From Overseas: (718) 961-6600

## PATTERN DAY TRADER STATUS TERMINATION FORM

Full Name of Account	Account Number
----------------------	----------------

I understand that, at the present time, my account has been identified and coded as a Pattern Day Trader.

I attest that I do not intend to conduct further pattern day trader activities, as defined by Financial Industry Regulatory Authority (FINRA) Rule 4210(f)(8)(B),

and that my account will be coded as a non-pattern day trader upon submission and approval of this form.

For current FINRA guidance please visit <https://www.finra.org/rules-guidance/guidance/interps-4210>

I agree to cease pattern day trading activities in my account.

If my account is reclassified as a non-pattern day trading margin account, I will be allowed to execute only three (3) day trades in a rolling five (5) business day period.

If I continue to engage in pattern day trading activities, I understand that my account will be coded permanently as a pattern day trading account.

For further information regarding day trading and pattern day trading, please see the Securities and Exchange Commission (SEC) Office of Investor Education and Advocacy Margin Rules for Day Trading bulletin.

<https://www.investor.gov/introduction-investing/general-resources/news-alerts/alerts-bulletins/investor-bulletins/margin>

<b>AUTHORIZED SIGNATURES</b>	
Primary Account Holder Signature	Date
Name of Primary Account Holder Name	
Joint Account Holder Signature	Date
Joint Account Holder Name	

<b>AUTHORIZED SIGNATURES</b>	
Registered Principal	Date
Name of Registered Principal	Title