

**TRADING AUTHORIZATION LIMITED
TO PURCHASES AND SALES OF SECURITIES,
OPTIONS AND COMMODITIES**

帳戶證券交易權力許可

TO: FIRSTRAD SECURITIES INC.

AND

TO: PENSON FINANCIAL SERVICES, INC.



133-25 37th Ave., Flushing, NY 11354

Tel: 1-800-869-8800 Fax: 1-718-961-3919

Member FINRA/SIPC

Account No. 帳戶號碼 _____

SS or ID No. 社會安 _____

全卡號碼 _____

Gentlemen:

The undersigned hereby authorizes _____ (被授權人，請在下方簽名 whose signature appears below) as his agent and attorney in fact to buy, sell (including short sales) and trade in stocks, bonds and any other securities and/or commodities and/or contracts relating to the same on margin or otherwise in accordance with your terms and conditions for the undersigned's account and risk in the undersigned's name, or number on your books. *Said agent is specifically authorized to effect options transactions or to uncover a covered option position for my account, as such terms are defined in the Options Clearing Corporation disclosure document entitled "Characteristics and Risks of Standardized Options", a copy of which I have received. The undersigned hereby agrees to indemnify and hold you harmless from and to pay you promptly on demand any and all losses arising therefrom or debit balance due thereon.

In all such purchases, sales or trades you are authorized to follow the instructions of the above named agent in every respect concerning the undersigned's account with you, and he is authorized to act for the undersigned and in the undersigned's behalf in the same manner and with the same force and effect as the undersigned might or could do with respect to such purchases, sales or trades as well as with respect to all other things necessary or incidental to the furtherance or conduct of such purchases, sales or trades.

The undersigned hereby ratifies and confirms any and all transactions with you heretofore or hereafter made by the aforesaid agent or for the undersigned's account.

This authorization and indemnity is in addition to (and in no way limits or restricts) any rights which you may have under any other agreement or agreements between the undersigned and your firm.

This authorization and indemnity is also a continuing one and shall remain in full force and effect until revoked by the undersigned by a written notice addressed to you and delivered to your main office, but such revocation shall not effect any liability in any way resulting from transactions initiated prior to such revocation. This authorization and indemnity shall inure to the benefit of your present firm and of any successor firm or firms irrespective of any change or changes at any time in the personnel thereof for any cause whatsoever, and of the assigns of your present firm or any successor firm, and shall be binding on the undersigned, his heirs, executors, administrators and assigns and shall be governed by the laws of the State of New York.

*Cross Out If Not Applicable

Very truly yours 帳戶持有人簽名,

X _____ Age 年齡 _____ Date 日期 _____

X _____ Age 年齡 _____ City 城市 _____ State 州 _____

Signature of Authorized Agent 被授權人簽名:

Relation to Clients(s) 與帳戶持有人關係:

X _____

Swore to before me this (以下請由公證人填寫)

_____ day of _____ 20 _____

Seal of Notary Public 公證人章印:

Authorized Agent/ Attorney-In-Fact 被授權人資料	Name 姓名		Phone Number 電話號碼
	Address 地址		
	City 城市	State 州	ZIP Code 郵遞區號
	U.S. Citizen 美國公民 <input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否	If No, Country of Citizenship 若否請註明國籍	Social Security Number 社會安全號碼
Bank Account Reference 被授權人銀行帳戶 參考資料	Name of Bank 銀行名稱		
	Address 地址		
	City 城市	State 州	ZIP Code 郵遞區號
	Account Number 帳戶號碼	Account Type 帳戶類型 <input type="checkbox"/> Checking 支票 <input type="checkbox"/> Savings 儲蓄 <input type="checkbox"/> Money Market 貨幣市場	
Employment Information 被授權人工作資料	Name of Company 公司名稱		
	Position 職位		
	Do you or the owner on this account work for or are affiliated with a securities firm, bank, insurance or trust company? If Yes, please specify. 您或帳戶持有人是否與證券行、銀行、保險公司或信用公司有關聯？若是，請註明。		
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	Are you or the owner on this account a director, officer, or 10% shareholder of any publicly owned company? If Yes, please specify. 您或帳戶持有人是否為上市公司的董事、管理階層、或持有10%上股份？若是，請註明。		
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