

ACCOUNT TYPE

Individual Joint-WROS

FUNDING YOUR ACCOUNT

Make check payable to: **Firstrade Securities Inc.**

Enclosed is my check for: \$

ACCOUNT HOLDER

Full Legal Name		SSN	
Email Address		Date of Birth	
Home Address (No P.O. Box)			
City	State	ZIP	
Mailing Address	City	State	ZIP
Home Phone	Business Phone	Mobile Phone	
U.S. Citizen <input type="checkbox"/> Yes <input type="checkbox"/> No			
if No, please enter country of citizenship _____			
<input type="checkbox"/> Permanent Resident	<input type="checkbox"/> Resident Alien	<input type="checkbox"/> Non-Resident Alien	
Employer		Occupation	
Employer's Address	City	State	ZIP

CO-ACCOUNT HOLDER

Full Legal Name		SSN	
Relationship to Account Holder		Date of Birth	
Home Phone	Business Phone	Mobile Phone	
U.S. Citizen <input type="checkbox"/> Yes <input type="checkbox"/> No			
if No, please enter country of citizenship _____			
<input type="checkbox"/> Permanent Resident	<input type="checkbox"/> Resident Alien	<input type="checkbox"/> Non-Resident Alien	
Employer		Occupation	
Employer's Address	City	State	ZIP

AFFILIATIONS

Account Holder Co-Account Holder
 The Account Holder or Co-Account Holder is licensed or employed by a registered broker/dealer, securities exchange, or member of a securities exchange. Include a compliance letter.

Account Holder Co-Account Holder
 The Account Holder or Co-Account Holder is a director, 10% shareholder, or policy-making officer of a publicly traded company. Specify company name and address.

Account Holder Co-Account Holder
 The Account Holder or Co-Account Holder, or a member of their immediate family or any business associate is a senior political figure. Specify the name of the political figure, political title, relationship, and country of office.

INVESTMENT & FINANCIAL PROFILE

Investment Experience

Account Holder
 None Limited Good Excellent

Co-Account Holder
 None Limited Good Excellent

Investment Objectives

Income Growth Speculation Capital Preservation Other

Annual Income

\$0 to \$24,999 (01) \$50,000 to \$99,999 (03) \$150,000 to \$249,999 (04)
 \$25,000 to \$49,999 (02) \$100,000 to \$149,999 (04) \$250,000+ (04)

Liquid Net Worth

\$0 to \$14,999 (01) \$50,000 to \$99,999 (02) \$500,000 to \$999,999 (04)
 \$15,000 to \$49,999 (01) \$100,000 to \$499,999 (03) \$1,000,000+ (04)

Total Net Worth

\$0 to \$14,999 (01) \$50,000 to \$99,999 (02) \$500,000 to \$999,999 (04)
 \$15,000 to \$49,999 (01) \$100,000 to \$499,999 (03) \$1,000,000+ (04)

ACCOUNT STATEMENTS & TRADE CONFIRMATIONS

You will receive periodic account statements and trade confirmations electronically, unless you make a selection below. If a valid email address is not provided, you will receive periodic paper statements and paper trade confirmations. You will be responsible for any fees that may apply.

Please Note: If you elect to receive electronic documents, you will receive shareholder communications and tax documentation electronically when available.

Please provide paper account statements (\$2 each) and paper trade confirmations (\$1 each). I understand that I will be responsible for the fees that apply.

Unless I check here, Firstrade Securities will provide my name to corporations whose securities I hold in my account for the purpose of corporate communications.

ACCOUNT AGREEMENT

I (We) would like to open a brokerage account with Firstrade Securities Inc. ("Firstrade") to be carried by Penon Financial Services, Inc. ("Penon"). I (We) am (are) of legal age to contract. I (We) acknowledge that I (We) have received, read and agree to be bound by the terms and conditions as set forth in the Firstrade Account Agreement, (available online at www.firstrade.com) which is incorporated by this reference, as amended from time to time. I agree that Firstrade Securities Inc. does not provide legal or tax advice, and will not advise me concerning the nature, potential value, or suitability of any particular security, transaction, or investment strategy. I understand that investments purchased through Firstrade Securities are not insured by the FDIC (Federal Deposit Insurance Corporation), are not obligations of or guaranteed by any financial institution and are subject to investment risk and loss. I further acknowledge that I have received, read and understand the Margin Disclosure Statement provided by Firstrade Securities Inc. ***Important Information about procedures for opening a new account: To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account. What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also use a third-party information provider for verification purposes and/or ask to see your driver's license or other identifying documents.** *Under penalties of perjury, I certify (1) that the number shown on this application is my correct taxpayer identification number and (2) that I am not subject to backup withholding and (3) I am an U.S. person (including a resident alien) provided, however, if I am a nonresident alien as disclosed in this application, I do not certify that I am a U.S. person, and I understand that I must submit a W-8BEN Form. If I have been notified by the IRS that I am subject to backup withholding as a result of dividend or interest underreporting, I must cross out the text contained in clause (2) of this section and I understand I will be subject to backup withholding. ***BY SIGNING THIS APPLICATION, I (WE) ACKNOWLEDGE THAT, IN ACCORDANCE WITH PARAGRAPH 23 OF THE FIRSTRAD ACCOUNT AGREEMENT, I (WE) AGREE IN ADVANCE TO RESOLVE ANY DISPUTES ARISING OUT OF MY (OUR) ACCOUNT BY ARBITRATION.***

Check here to decline margin privileges. Open my account as a cash account only.

Account Holder Signature	Date
Co-Account Holder Signature	Date

Approval <input type="checkbox"/> Cash <input type="checkbox"/> Margin	Date	Customer ID Verified <input type="checkbox"/> Yes <input type="checkbox"/> No	Account Number	Date
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