

Electronic Fund Transfer (ACH) Setup or Amendment Instructions



The Electronic Fund Transfer (ACH) System allows you to electronically transfer money from your bank account to your Firsttrade account. With this service you can select to make periodic deposits into your account or transfer money on demand without a set transfer schedule.

Setup Initial ACH Profile

STEP 1: Download our Electronic Fund Transfer (ACH) Agreement and input your information.

Review, Print and Sign the form.

STEP 2: Attach a voided check or a copy of the most recent bank statement.

STEP 3: **Fax, Email** or **Mail** the above documents to:

Fax: 1-718-961-3919

Email: ACH_Service@firsttrade.com

Mailing Address: Firsttrade Securities Inc.

133-25 37th Avenue, Flushing, NY 11354

Amend Existing ACH Profile

STEP 1: Download our Electronic Fund Transfer (ACH) Agreement and input your information.

Review, Print and Sign the form.

STEP 2: Attach an **Original** voided check.

STEP 3: Attach a copy of the most recent bank statement and a copy of a valid government-issued Photo ID for each account holder.

STEP 4: **Mail** the above documents to:

Mailing Address: Firsttrade Securities Inc.

133-25 37th Avenue, Flushing, NY 11354

Notes:

- * *Must be payable through a U.S. domestic banks.*
- * *Only available to Firsttrade U.S. domestic accounts.*
- * *Starter checks and checks without printed title and address will not be accepted*
- * *Not available for initial deposit and custodial account.*
- * *For savings accounts, submit a copy of savings account statement in lieu of voided check.*
- * *This process usually takes about 5-7 business days. We will notify you by email when the setup is completed.*

Electronic Fund Transfer (ACH) Agreement

Authorization for On-Demand Electronic Funds Transfer



133-25 37th Ave., Flushing, NY 11354
Tel: 1-800-869-8800 Fax: 1-718-961-3919
Member FINRA/SIPC

Firstrade Account Information:

<input type="text"/> Firstrade Account Number	Check Either One: <input type="checkbox"/> Setup Initial ACH Profile <input type="checkbox"/> Amend Existing ACH Profile
_____ Firstrade Account Primary Owner's Name (Type or Print)	_____ Firstrade Account Joint Owner's Name (if applicable, Type or Print)

This will serve as authorization for Penson Financial Services, Inc. to establish Electronic Transfer Instructions to deposit or withdraw funds as ordered by me (us) through Firstrade by **crediting or debiting** my (our) account indicated below.

Bank Account Information:

_____ Bank Account Primary Owner's Name (Type or Print)	_____ Bank Account Joint Owner's Name (if applicable, Type or Print)
_____ Name of the Bank	_____ Bank Account Number
<input type="text"/> 9 Digit ABA Number (ACH Routing Number)	<input type="checkbox"/> Checking <input type="checkbox"/> Savings (Attach original voided check or copy of bank statement)

Optional Periodic Deposits: (Transfer funds into your Firstrade account at a scheduled interval, minimum transfer amount is \$100)

Debit my bank account on a regular basis according to the following schedule:

Amount: _____ Frequency of Transfer: _____ Day of Month: _____
 \$ _____ Monthly Quarterly Semi-annually _____

This authorization is to remain in full force and effect until Penson Financial through Firstrade, has received written notification from me (or either of us) of its termination in such time and in such manner as to afford Penson Financial and my bank a reasonable opportunity to act on it. I also authorize you to accept debit or credit adjustment if required. I authorize Firstrade and/or Penson Financial to verify my bank account information with the bank listed above.

Important: Two signatures are required if either your bank account or Firstrade account is joint account.

_____ Bank or Firstrade Account Primary Owner Signature	_____ Date	_____ Bank or Firstrade Account Joint Owner Signature (if applicable)	_____ Date
_____ Bank or Firstrade Account Primary Owner Name (Print)		_____ Bank or Firstrade Account Joint Owner Name (Print)	
_____ Address	_____ City	_____ State	_____ Zip

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- * Not available for initial deposit and custodial account.
- * For savings accounts, submit a copy of savings account statement in lieu of voided check.
- * This process usually takes about 5-7 business days. We will notify you by email when the setup is completed.

John Q. Public
123 Elm Avenue
S. Hampton, US 54321

0168
DATE: _____

PAY TO THE ORDER OF **Attach Original Voided Check Here**

_____ DOLLARS

| ABA # or ACH Routing # | | Bank Account Number |
 0 1 1 1 2 3 4 5 6 | 9 8 7 6 5 4 3 2 1 0 ||

